



United States of America
SMALL BUSINESS ADMINISTRATION
STATEMENT OF PERSONAL HISTORY

Please Read Carefully - Print or Type

Each member of the small business concern requesting assistance or the development company must submit this form in TRIPLICATE for filing with the SBA application. This form must be filled out and submitted:

1. If a sole proprietorship, by the proprietor;
2. If a partnership, by each partner;
3. If a corporation or a development company, by each officer, director, and additionally, by each holder of 20% or more of the voting stock;
4. Any other person, including a hired manager, who has authority to speak for and commit the borrower in the management of the business.

Name and Address of Applicant (Firm Name)(Street, City, State and ZIP Code)

SBA District Office and City

Amount Applied for:

1. Personal Statement of: (State name in full, if no middle name, state (NMN), or if initial only, indicate initial). If married include name of spouse. List all former names used, and dates each name was used. Use separate sheet if necessary.

First Middle Maiden Last

Name of Spouse: (Include former married names and maiden name)

2. Date of Birth: (Month, day and year)

3. Place of Birth: (City & State or Foreign Country)

4. Give the percentage of ownership or stock owned or to be owned in the small business concern or the Development Company.

Social Security No.

5. Present residence address

City

State

From To Address

Immediate past residence address

From To Address

BE SURE TO ANSWER THE NEXT 3 QUESTIONS CORRECTLY BECAUSE THEY ARE IMPORTANT.

THE FACT THAT YOU HAVE AN ARREST OR CONVICTION RECORD WILL NOT NECESSARILY DISQUALIFY YOU. BUT AN INCORRECT ANSWER WILL PROBABLY CAUSE YOUR APPLICATION TO BE TURNED DOWN.

6. Are you presently under indictment, on parole or probation?

☐ Yes ☐ No If yes, furnish details in a separate exhibit. List name(s) under which held, if applicable.

7. Have you ever been charged with or arrested for any criminal offense other than a minor motor vehicle violation?

☐ Yes ☐ No If yes, furnish details in a separate exhibit. List name(s) under which charged, if applicable.

8. Have you ever been convicted of any criminal offense other than a minor motor vehicle violation?

☐ Yes ☐ No If yes, furnish details in a separate exhibit. List name(s) under which convicted, if applicable.

9. Name and address of participating bank

The information on this form will be used in connection with an investigation of your character. Any information you wish to submit, that you feel will expedite this investigation should be set forth.

Whoever makes any statement knowing it to be false, for the purpose of obtaining for himself or for any applicant, any loan, or loan extension by renewal, deferment or otherwise, or for the purpose of obtaining, or influencing SBA toward, anything of value under the Small Business Act, as amended, shall be punished under Section 16(a) of that Act, by a fine of not more than \$5000, or by imprisonment for not more than 2 years, or both.

Signature

Title

Date

It is against SBA's policy to provide assistance to persons not of good character and therefore consideration is given to the qualities and personality traits of a person, favorable and unfavorable, relating thereto, including behavior, integrity, candor and disposition toward criminal actions. It is also against SBA's policy to provide assistance not in the best interests of the United States, for example, if there is reason to believe that the effect of such assistance will be to encourage or support, directly or indirectly, activities inimical to the Security of the United States.

